

## United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/671,354	09/27/2000	Osamu Okumura	038959.01	8112
25944	7590 09/19/20	1	EXAMINER	
OLIFF & BERRIDGE, PLC			TON, MINH TOAN T	
P.O. BOX 19 ALEXANDI	9928 NA, VA 22320		ART UNIT	PAPER NUMBER
	•		2871	
			DATE MAILED: 09/19/200	6

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)	
		09/671,354	OKUMURA ET AL.	
•	Office Action Summary	Examiner	Art Unit	
		Toan Ton	2871	
Period fo	The MAILING DATE of this communicat r Reply	ion appears on the cover shee	t with the correspondence address	
A SHO WHIC - Exter after - If NO - Failui Any r	ORTENED STATUTORY PERIOD FOR HEVER IS LONGER, FROM THE MAIL is is not time may be available under the provisions of 37 SIX (6) MONTHS from the mailing date of this communities period for reply is specified above, the maximum statutor re to reply within the set or extended period for reply will, if eply received by the Office later than three months after the patent term adjustment. See 37 CFR 1.704(b).	ING DATE OF THIS COMMU CFR 1.136(a). In no event, however, ma ation. y period will apply and will expire SIX (6) by statute, cause the application to become	JNICATION.  ay a reply be timely filed  MONTHS from the mailing date of this communication about the ABANDONED (35 U.S.C. § 133).	
Status				
2a) <u></u> □	Responsive to communication(s) filed on This action is <b>FINAL</b> . 2b) Since this application is in condition for closed in accordance with the practice up	☑ This action is non-final. allowance except for formal r		is
Dienositi	on of Claims	•		
5)□ 6)⊠ 7)□	Claim(s) 30,31,33,37,38 and 49-78 is/are was allowed. Claim(s) is/are allowed. Claim(s) 30,31,33,37,38 and 49-78 is/are Claim(s) is/are objected to. Claim(s) is/are object to restriction	vithdrawn from consideration. re rejected.		
Applicati	on Papers			
9) 10)	The specification is objected to by the ExThe drawing(s) filed on is/are: a) Applicant may not request that any objection Replacement drawing sheet(s) including the The oath or declaration is objected to by	accepted or b) objected or b) objected on to the drawing(s) be held in about correction is required if the draw	eyance. See 37 CFR 1.85(a). ving(s) is objected to. See 37 CFR 1.121	• •
Priority u	inder 35 U.S.C. § 119			
12)[ a)[	Acknowledgment is made of a claim for a All b) Some * c) None of:  1. Certified copies of the priority doc 2. Certified copies of the priority doc 3. Copies of the certified copies of the application from the International see the attached detailed Office action for	numents have been received. Euments have been received The priority documents have been been (PCT Rule 17.2(a)).	in Application No een received in this National Stage	
2) Notic 3) Inform	t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO- nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	948) Paper	ew Summary (PTO-413) No(s)/Mail Date of Informal Patent Application	

Application/Control Number: 09/671,354 Page 2

Art Unit: 2871

## Double Patenting

1. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Art Unit: 2871

2. Claims 30-31, 33, 37-38 and 49-78 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 30-44 and 45-71 of copending Application No.10/995176. Although the conflicting claims are not identical, they are not patentably distinct from each other because the present application and the issued application recite a reflective type color LCD comprising common and overlapping subject matter such as a dot area formed at an overlapping portion of the first electrode and the second electrode, the dot area including a first section and a second section, a color filter arranged in the first section, non-colored filter arranged in the second section, a layer arranged in the second section in which the color filter is not arranged and the layer being transparent.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

## **Contact Information**

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Toan Ton whose telephone number is (571) 272-2303.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

TOANTON